

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

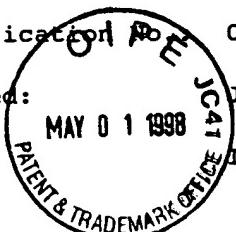
*#5*

Applicant(s): Thomas Mark Levergood, Lawrence C. Stewart, Stephen Jeffrey Morris,  
Andrew C. Payne and George Winfield Treese

Application No. 09/005,479 Group Art Unit: 2784

Filed: January 12, 1998

For: INTERNET SERVER ACCESS CONTROL AND MONITORING SYSTEMS



<b>CERTIFICATE OF MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>4-28-98</u>	<u>Marianne Lentini</u>
Date	Signature
Marianne Lentini	
Typed or printed name of person signing certificate	

REQUEST FOR CORRECTED FILING RECEIPT

*RECEIVED  
98 JUL 21 AM 9:30  
GROUP 2700*

Customer Correction Branch  
Application Processing Division  
Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

We hereby request that the following item of information be corrected on the Filing Receipt for the subject application received in this office on April 10, 1998.

The applicants are: THOMAS MARK LEVERGOOD  
LAWRENCE C. STEWART  
STEPHEN JEFFREY MORRIS  
ANDREW C. PAYNE  
GEORGE WINFIELD TRESE

The filing receipt only references THOMAS MARK LEVERGOOD as the applicant.

A copy of the Filing Receipt with corrections noted in red is enclosed. Please make the corrections as noted above, and issue a Corrected Filing Receipt.

Respectfully submitted,

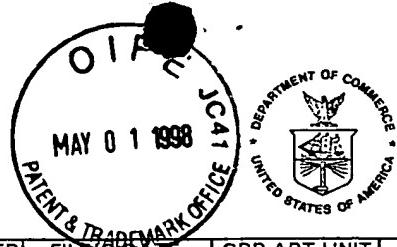
*[Signature]*  
By \_\_\_\_\_  
James M. Smith  
Attorney for Applicant(s)  
Registration No. 28,043  
Telephone (781) 861-6240  
Facsimile (781) 861-9540

Lexington, Massachusetts 02173

Dated: 4/27/98

K:\GBLUHM\LENTINI\OMI\95-01A\FIRCPT.COR

## FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/005,479	01/12/98	2784	\$0.00	OMI95-01A	7	48	9

021005  
HAMILTON BROOK SMITH REYNOLDS  
TWO MILITIA DR  
LEXINGTON MA 02173-4799

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

## Applicant(s)

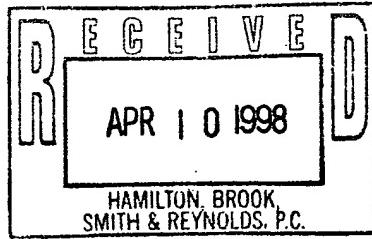
THOMAS MARK LEVERGOOD,  
\*

CONTINUING DATA AS CLAIMED BY APPLICANT—  
THIS APPLN IS A CON OF 08/474,096 06/07/95 PAT 5,708,780

FOREIGN FILING LICENSE GRANTED 04/07/98

TITLE  
INTERNET SERVER ACCESS CONTROL AND MONITORING SYSTEMS

PRELIMINARY CLASS: 395



\*

LAWRENCE C. STEWART

STEPHEN JEFFREY MORRIS

ANDREW C. PAYNE

GEORGE WINFIELD TREES

RECEIVED  
98 JUL 21 AM 9:30  
GROUP 2700



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/005,479 01/02/98 LEVERIS/JOH OM195-A1A

021005 03/02/04/08  
HAMILTON BROOK SMITH REYNOLDS  
TWO MILITIA RD  
LEXINGTON MA 02173-4799

NO D ASSIGNMENT

2784

DATE MAILED:

04/08/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  small entity (statement filed)  non-small entity is \$ 202.80.

1. The statutory basic filing fee is:

- missing.  
 insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. Additional claim fees of \$ 492.00 for 6 independent claims over 3, including any multiple dependent claim fees, are required.

\$ 492.00 for 6 independent claims over 3.  
\$ 616.00 for 28 dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

- is missing or unexecuted.  
 does not cover the newly submitted items.  
 does not identify the application to which it applies.  
 does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

MAY 01 1998

HAMILTON, BROOK, SMITH &amp; REYNOLDS, P.C.

Section 8

**FEE TRANSMITTAL FOR  
PATENT APPLICATIONS**

PATENT &amp; TRADEMARK OFFICE

Attorney Docket Number OMI95-01A

Application Number 09/005,479

First Named Inventor Thomas Mark Levergood

## CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	48 - 20* =	28	x \$ 22 =	\$ 616.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (l))	9 - 3** =	6	x \$ 82 =	\$ 492.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 270 =	\$
				BASIC FEE (37 CFR 1.16(a))	\$ 790
				Total of above Calculations =	\$ 1898.00
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$
				TOTAL =	\$ 1898.00
	Surcharge - Fee for Late Filing of Declaration (37 C.F.R. 1.16(e)) =				\$ 130.00
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$
	Assignment Recordation Fee =				\$
*	Reissue claims in excess of 20 and over original patent			TOTAL =	\$ 2028.00
**	Reissue independent claims over original patent				

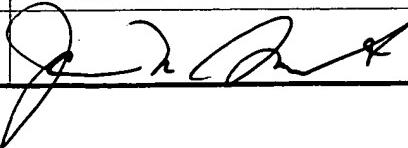
## 1. Small entity status:

- a.  A small entity statement is enclosed.
- b.  A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c.  Is no longer claimed.

2.  A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.3.  A check is enclosed for \$ 2028.00. Please charge \$ \_\_\_\_\_ to Deposit Account No. 08-0380.

05/06/1998 NFTOPRS 00000040.09005479

01 FC:101	790.00 DP
02 FC:103	616.00 DP
03 FC:102	492.00 DP
04 FC:105	130.00 DP

Submitted by Typed or Printed Name	James M. Smith	Reg. Number	28,043
Signature		Date	4/27/98

OMI95-01A  
JMS/GMB/ml  
April 27, 1998



PATENT APPLICATION  
Docket No.: OMI95-01A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Thomas Mark Levergood, Lawrence C. Stewart,  
Stephen Jeffrey Morris, Andrew C. Payne, and George  
Winfield Treese

Application No.: 09/005,479 Group Art Unit: 2784

Filed: January 12, 1998

For: INTERNET SERVER ACCESS CONTROL AND MONITORING SYSTEMS

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>4/28/98</u>	Signature <u>Marianne Lentini</u>
Date	Signature
Marianne Lentini	
Typed or printed name of person signing certificate	

TRANSMITTAL OF FILING FEE AND DECLARATION/POWER OF ATTORNEY  
IN RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application dated April 8, 1998, enclosed herewith is a copy of an executed Declaration/Power of Attorney, which

-2-

was filed in Application No. 08/474,096 filed June 7, 1995, for filing in the referenced application.

Also enclosed is a check in the amount of \$2,028 which includes the application filing fee in the amount of \$1,898 and surcharge in the amount of \$130.00 for a large entity. A copy of the Notice is attached.

Please charge Attorney's Deposit Account No. 08-0380 for any additional fees that may be due in this matter. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,



James M. Smith  
Attorney for Applicant(s)  
Registration No. 28,043  
Telephone (781) 861-6240  
Facsimile (781) 861-9540

Lexington, Massachusetts 02173

Date:



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